

Horizon 2020

EU Framework Programme for Research & Innovation

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Opportunities for US researchers

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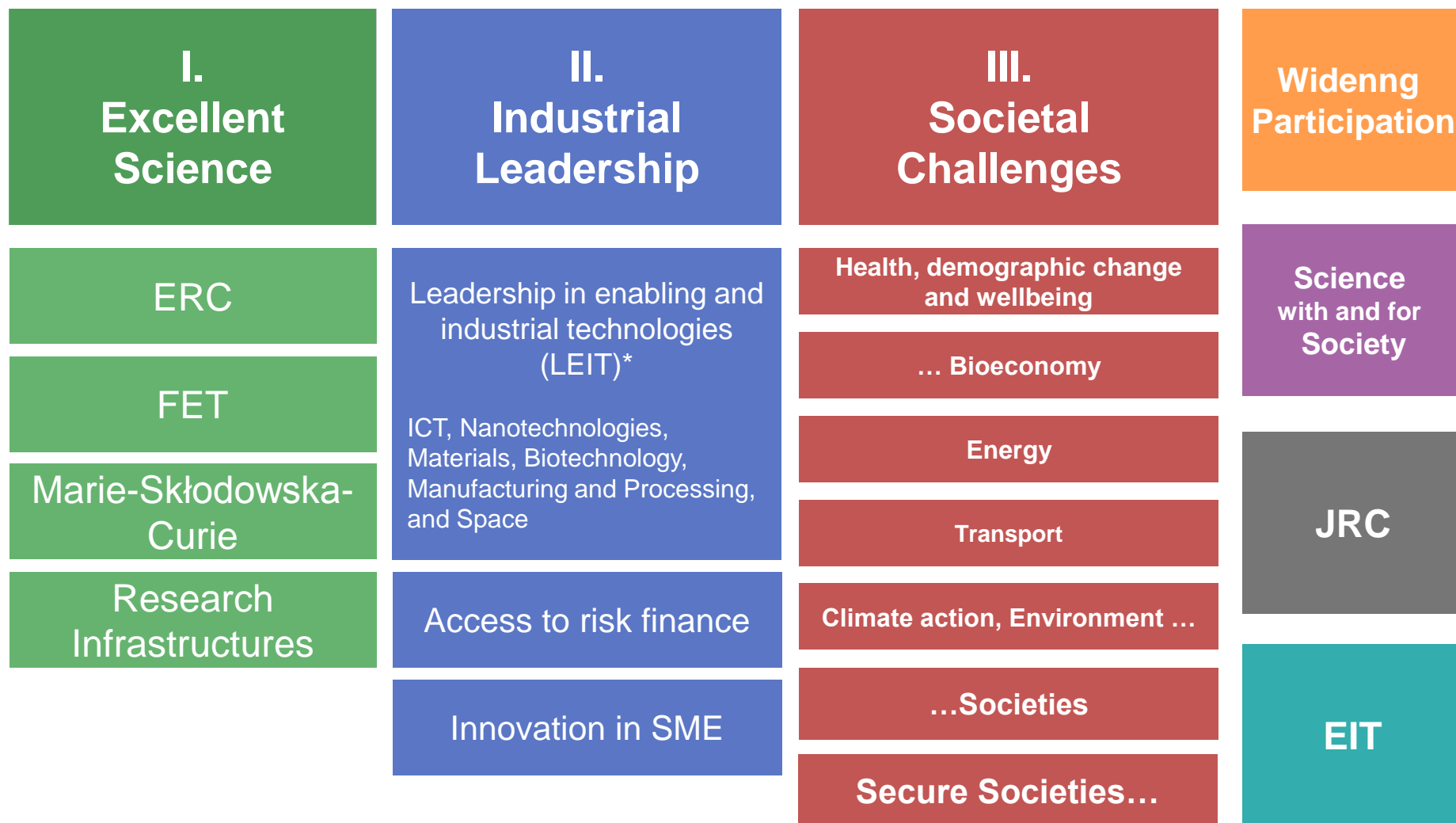
Content

- I. Introduction to Horizon 2020 and its general structure
- II. Participation in Horizon 2020 – Key legal / financial aspects and international Cooperation
- III. Practical information and guidance

The Framework Programme for Research and Innovation - Horizon 2020

- World's largest funding programme for research and innovation – about 78 Billion € (2014 - 2020)
- Combines the formerly separate funding programmes for R&I (FP7, EIT, CIP)
- Stronger focus on innovation: closing the gap between knowledge and market, stronger participation of SMEs
- Orientation towards grand Societal Challenges
- Simplification with administrative issues
- Many opportunities for cooperation with non-European partners

Programme Structure of Horizon 2020



Part I – Excellent Science

- **European Research Council (ERC):** support the most talented and creative individuals and their teams to carry out frontier research
- **Future and Emerging Technologies (FET):** fund collaborative research to open up new and promising fields of research and innovation
- **Marie Skłodowska-Curie Actions:** provide mobility of researchers; focus on training and career development
- **Research Infrastructures:** ensure Europe has world-class research infrastructures (including e-infrastructures) accessible to all researchers in Europe and beyond

Part II – Industrial Leadership

- **Leadership in enabling and industrial technologies (LEIT):** Research and innovation in areas of particular relevance for the competitiveness of the industry (ICT, Nanotechnology, Materials, Biotechnology, Manufacturing and Processing and Space)
- **Acess to risk finance:** debt facility and equity facility in order to leverage yet further private high-risk research and innovation (R&I) investments
- **Innovation in SME:** dedicated support for SME in three different stages covering the whole innovation cycle (feasibility part, demonstration and testing, commercialisation phase)

Part III – Societal Challenges

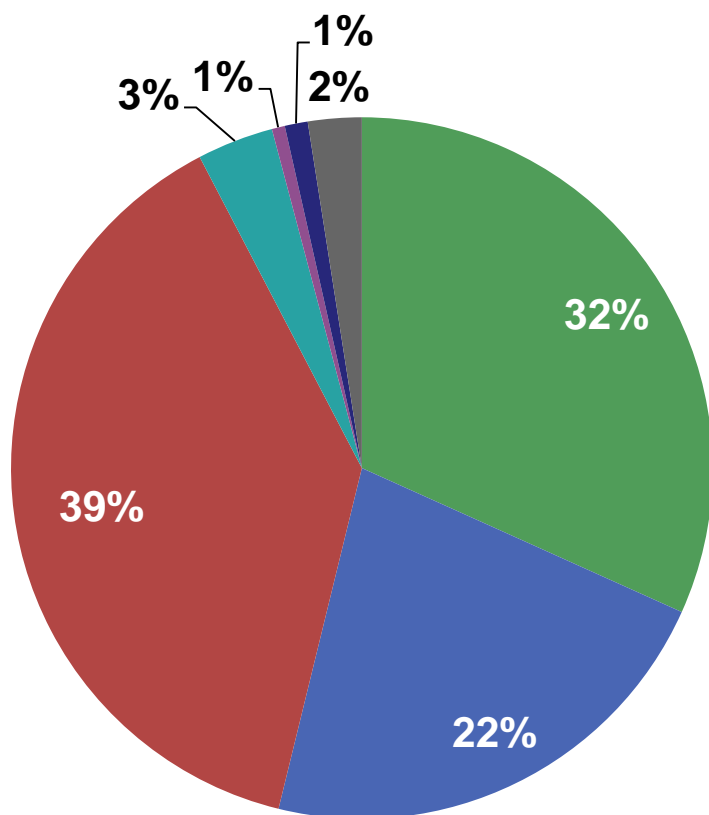
- 7 specific objectives which aim to contribute to solve important societal challenges of the Union
 - **Policy driven:** reflect the political priorities and societal challenges of the Europe 2020 strategy
 - **Critical mass** of knowledge and resources in order to deal with the societal challenges
- **Interdisciplinary** approach
- Entire cycle from basic research to market uptake
- Strong output orientation

III.

Societal Challenges

- 1. Health, Demographic Change and Wellbeing**
- 2. Food Security, Sustainable Agriculture and Forestry,
Marine and Maritime and Inland Water Research and the Bioeconomy**
- 3. Secure, Clean and Efficient Energy**
- 4. Smart, Green And Integrated Transport**
- 5. Climate action, Environment, Resource Efficiency and Raw Materials**
- 6. Europe In A Changing World –
Inclusive, Innovative And Reflective Societies**
- 7. Secure Societies –
Protecting Freedom And Security Of Europe And Its Citizens**

Budget allocation of Horizon 2020



- I Excellent Science 24.441 Mio. EUR
- II Industrial Leadership 17.016 Mio. EUR
- III Societal Challenges 29.679 Mio. EUR
- EIT 2.711 Mio. EUR
- Science with and for Society 462 Mio. EUR
- Widening Participation 817 Mio. EUR
- JRC Non-nuclear 1.903 Mio. EUR

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Implementation of international cooperation in Horizon 2020

**I. General openness for the participation of
partners from third countries**

II. Targeted international cooperation actions

+

Horizontal international cooperation activities

International Cooperation in Horizon 2020 - Objectives

- Three main objectives:
 - Strengthen the Union's **excellence** in research and innovation + competitiveness
 - Jointly tackle **global societal challenges**
 - Support the **Union's external policies**

Eligibility for Funding – Third countries

- Horizon 2020 is generally open to participation of researchers from **third countries** (neither member state, nor associated country)
- Automatic funding for legal entities identified in the work programme

3 country groups → Differences regarding funding

| | |
|---|----------------------------------|
| EU enlargement countries + countries of the European Neighbourhood policy | in general eligible for funding |
| Developing countries | |
| Industrialised countries and emerging economies | only funded in exceptional cases |

- Industrialized countries: US, Canada, Australia, South Korea, etc.
- Emerging economies: Brazil, Russia, India, China and Mexico

Exceptional cases for funding....

Participants from industrialised countries and emerging economies are eligible for funding, if:

- Specific provision in the call text
- Provided for under a bilateral scientific and technological **agreement** or any other **arrangement**
 - Specific arrangement for US partners to be eligible for funding in “Health, demographic change and well-being”
- The participation is deemed **essential for carrying out** the action (case by case assessment)

Participation in H2020 in a nutshell - I

- Applications in response to calls for proposals – published in a 2-year work program with 18 separate parts (exception ERC)
- Calls are either
 - “top down” : topics defined
 - or “bottom-up”: proposers suggest the topics themselves
- Consortia of different project participants (majority) or individual researchers / organizations

Participation in H2020 in a nutshell - II

- Gate to H2020 = Participant Portal with information on calls, relevant documents, submission of proposals + project management (<http://ec.europa.eu/research/participants/portal>)
- Applications in general in English
- Fixed deadlines or cut-off-dates
- Time to grant in general max. 8 months:

Participation of US-Researchers & Organisations

1. Individually

- In the “Excellent Science” pillar
 - European Research Council grantees
 - Marie Skłodowska-Curie Action fellows

2. As collaborative project participants

- In “Societal Challenges” and “Industrial Leadership” pillars
- MSC Partners, Hosts of MSC fellows

3. Program-level cooperation

- With government departments and agencies (e.g. NSF, NIH, NOAA, DOE, etc.)
 - Joint call (with joint evaluation and selection procedures)
 - Coordinated / synchronized calls
 - International Consortia (shared research agenda and coordination mechanisms)

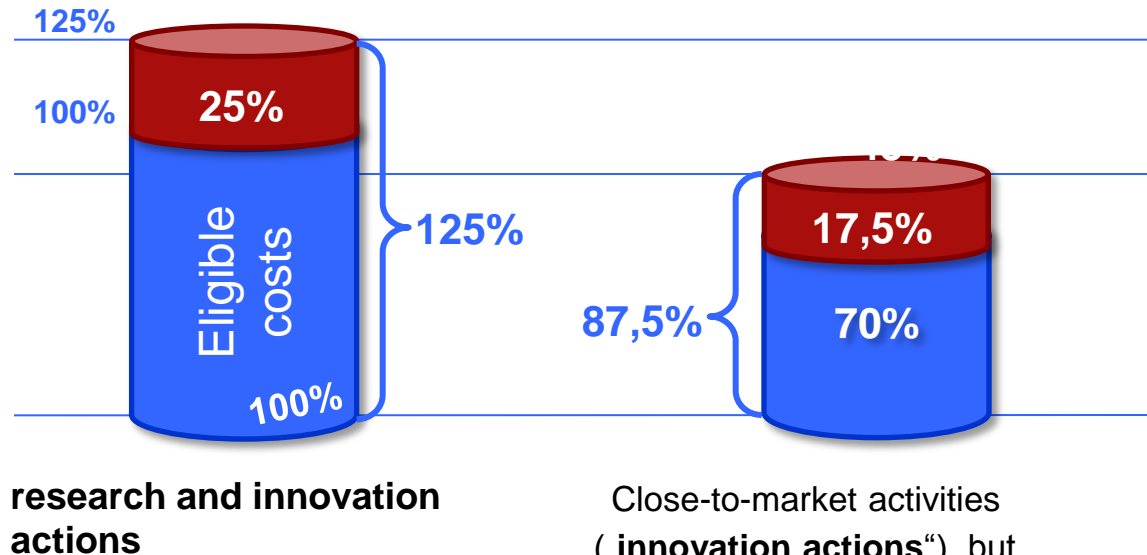
Funding rates in Horizon 2020

Simplified:

One funding rate per project

Reimbursement:

Actual costs (personnel costs, subcontracting, travel costs, equipment, etc.)



research and innovation actions

Close-to-market activities („innovation actions“), but non-profit legal entities 100 %

$$\begin{aligned}
 & (100\% \text{ direct eligible costs} \\
 & + 25\% \text{ overhead flat rate}) \\
 & \times 100\% \text{ funding rate} \\
 \hline
 & = \underline{125\%} \text{ EC-contribution}
 \end{aligned}$$

$$\begin{aligned}
 & (100\% \text{ direct eligible costs} \\
 & + 25\% \text{ overhead flat rate}) \\
 & \times 70\% \text{ funding rate} \\
 \hline
 & = \underline{87,5\%} \text{ EC-contribution}
 \end{aligned}$$

Participation of U.S. Organizations in previous EU programme: FP7 (2007-2013)

- U.S. instances of participation
 - 482 in signed grant agreements for R&D collaborative projects
 - 774 in Marie Curie Actions fellowships and networks
 - 139 European Research Council PIs (45% of all non-Europeans)

- Most popular themes in collaborative projects
 - Health - 153
 - Information and Communications Technologies - 83
 - Food, Agriculture, Fisheries and Biotechnology - 43
 - Nanosciences, Materials and New Production Technologies – 29
 - Environment (incl. Climate Change) - 28
 - Energy – 19



Why Participate in Horizon 2020?

- Access to Europe's best expertise, equipment & facilities
- Greater economies of scale & scope
- Increased research quality
- Greater impact, efficiency and rate of progress
- Joining existing/ creating new networks & alliances
- Faster career development
- Strong focus on business opportunities & commercialization
- Many indirect/ unintended benefits ...
 - New research avenues
 - Exchange possibilities
 - Cross-disciplinary/ cross-border fertilization
 - ...

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How to Apply?

- Find a call for proposals on the Participant Portal:
<http://ec.europa.eu/research/participants/portal/desktop/en/home.html>
- Find partners (where necessary)
 - Use Partner Search facility on the Horizon 2020 Participant Portal website: http://ec.europa.eu/research/participants/docs/h2020-funding-guide/grants/applying-for-funding/find-partners_en.htm
 - Use existing contacts with experience of EU funding programs
 - Search the CORDIS database for participants similar projects in FP7: http://cordis.europa.eu/projects/home_en.html
 - Use social media (e.g. LinkedIn forums)?
- Create an account and register your organization

Modalities

I. Research and Innovation Actions

II. Innovation Actions

III. Coordination and Support actions



Two-stage
evaluations

First deadline:
12/03/2014 for some
26/04/2014
Second deadline:
26/06/2014



Single-stage
evaluation

Deadline: 26/06/14

Find out more and get support:

<http://ec.europa.eu/research/participants/portal/desktop/en/home.html>

Evaluation Criteria



- ✓ **ERC** frontier Research actions >> only EXCELLENCE
- ✓ **Innovation actions** higher weighting for "IMPACT"

Time to Grant

- A maximum time to grant of 8 months

5 months
for informing all applicants
on scientific evaluation

3 months
for signature of GA

BILAT USA 2.0



Firefox Bilat USA 2.0
www.eusscienceandtechnology.eu

BILAT  USA 2.0

News & Events About BILAT USA 2.0 Background & Setting Funding Horizon 2020 Open Calls Forum Login



BILAT-USA 2.0 project

BILAT USA 2.0 is a project funded by the European Union under FP7. It is a bilateral coordination activity to enhance and develop science, technology and innovation partnerships between the European Union and the United States of America. BILAT-USA 2.0 has three main goals:

- support the political dialogue within the framework of the EU-US STI cooperation agreement
- enhance the cooperation between scientists and innovation actors on both sides and spread information on funding possibilities through a number of workshops & events
- analyze the state-of-the-art and the progress of transatlantic science and technology cooperation.

In March 2013, the EU-US Joint STI Committee Meeting determined four research areas as priorities for transatlantic cooperation: Marine & Arctic Research, NMP, Health and Transport.

Read More

Marine and Arctic Research

NMP

Health

Transport

Events

 BILAT USA 2.0 @ NCURA Pre-Award Research Administration Conference, March 18-20, 2014, San Francisco, CA
03-20-2014

 Going global: fostering innovation & strengthening synergies
04-09-2014

More Events

Latest highlight

SME opportunities in Horizon 2020 – Information guide

 H orizon 2020 is the financial instrument implementing the Innovation Union, a Europe 2020 flagship initiative aimed at securing Europe's global competitiveness. Planned to run from 2014 to 2020 with a €70 billion preliminary budget, the EU's new Programme

Latest News

- › The European Commission at AAAS 2014 in Chicago
- › 6th Destination Europe Conference
- › Arctic Research funded by the European Union
- › Science and Engineering Indicators 2014

Useful H2020 documents

- Horizon 2020 Online Manual

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/index_en.htm

- Specific Program(me)

http://ec.europa.eu/research/participants/data/ref/h2020/legal_basis/sp/h2020-sp_en.pdf

- Rules for Participation

http://ec.europa.eu/research/participants/data/ref/h2020/legal_basis/rules_participation/h2020-rules-participation_en.pdf

- Work Program(me) for your areas of interest

<http://ec.europa.eu/programmes/horizon2020/en/h2020-sections>

- Calls for Proposals for your areas of interest

<http://ec.europa.eu/programmes/horizon2020/find-your-area>

Useful Websites

- Horizon 2020 website & Horizon 2020 Expert Database

<http://ec.europa.eu/programmes/horizon2020/>

<http://ec.europa.eu/research/participants/portal/desktop/en/experts/index.html>

- BILAT-USA 2.0

<http://www.euussciencetechnology.eu/bilat-usa>



- Destination Europe

<http://ec.europa.eu/research/iscp/index.cfm?lg=en&pg=destinationEurope>

Destination Europe 2013



- EURAXESS Links North America

http://ec.europa.eu/euraxess/index.cfm/links/eurRes/north_america



Thank you for your kind attention!

Questions?

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